

# Addressing Vulnerable Populations' Challenges through System Preparedness

# Today's Presenters

- Dr. Adewale Troutman
- Dr. Robert Tabler
- Mr. Patrick Gardner



# Vulnerability

Dr. Troutman



# Objectives

- Explain the importance of system preparedness prior to an event or incident.
- Discuss the various approaches to gain situational awareness of system readiness and to identify gaps and points of failure in these systems prior to an event or incident.
- Review possible solutions to address gaps in system readiness.



# Competencies

Competencies addressed in today's presentation include:

- 1.1 - Solve problems under emergency conditions.
- 1.3 - Facilitate collaboration with internal and external emergency response partners.
- 1.4 - Maintain situational awareness.



# Competencies

Competencies addressed in today's presentation include:

- 3.1 - Contribute expertise to a community Hazard Vulnerability Analysis (HVA).
- 3.2 - Contribute expertise to the development of emergency plans.
- 3.3 - Participate in improving the organization's capacities (including, but not limited to programs, plans, policies, laws and workforce training).



# Vulnerability

- More than two dozen definitions
- To what?
- Ghettos
- Multiple factors
- Impacts resiliency



Source: <http://www.unisdr.org/campaign/resilientcities/>

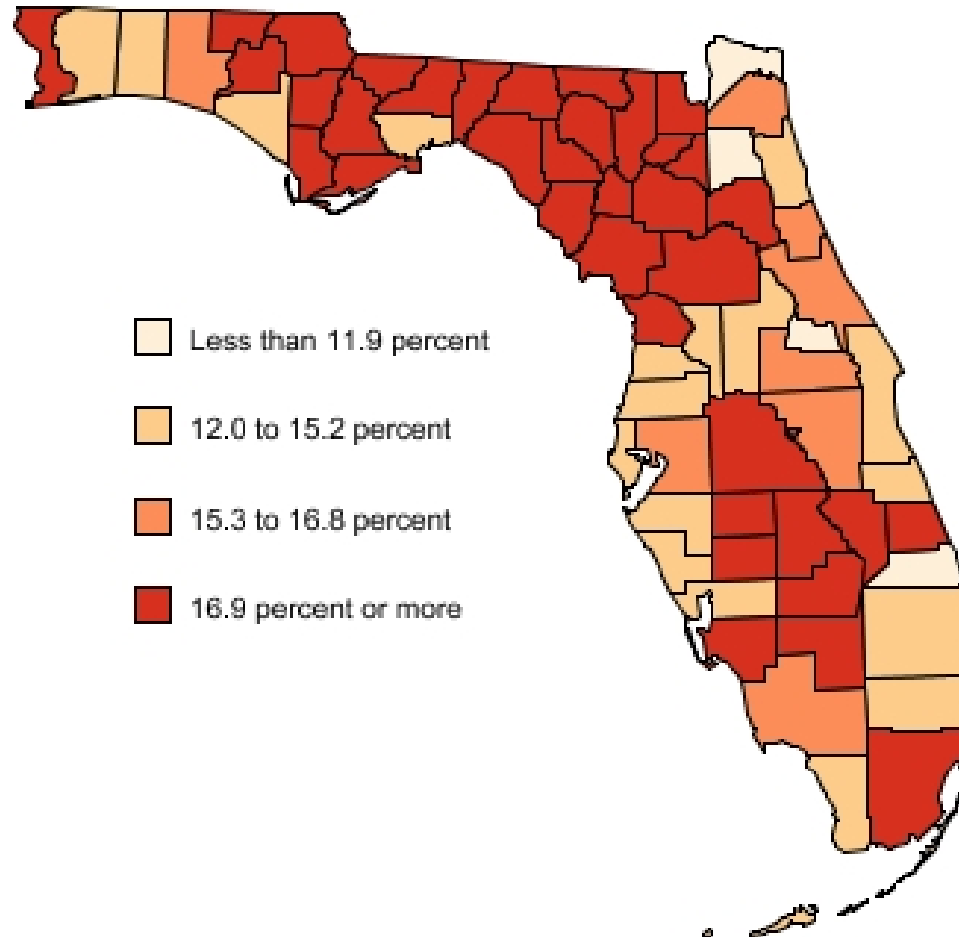
A person's ability to prepare for, respond to, and recover from a disaster is directly related to their vulnerability.





# Economics: Large Number of People in Poverty

Poverty Rates



Source: 2010 Poverty Rates, U.S. Census



People who are poor may be fully aware of the risks in a pending disaster, but have fewer alternatives to choose from to implement protective actions.



Source: <http://www.msc.navy.mil/sealift/2010/March/haiti.htm>

# Factors Impacting Vulnerability

- Race and ethnicity
- Class
- Gender
- Age
- Disability
- Health
- Literacy
- Families and households
- Language



# Considerations

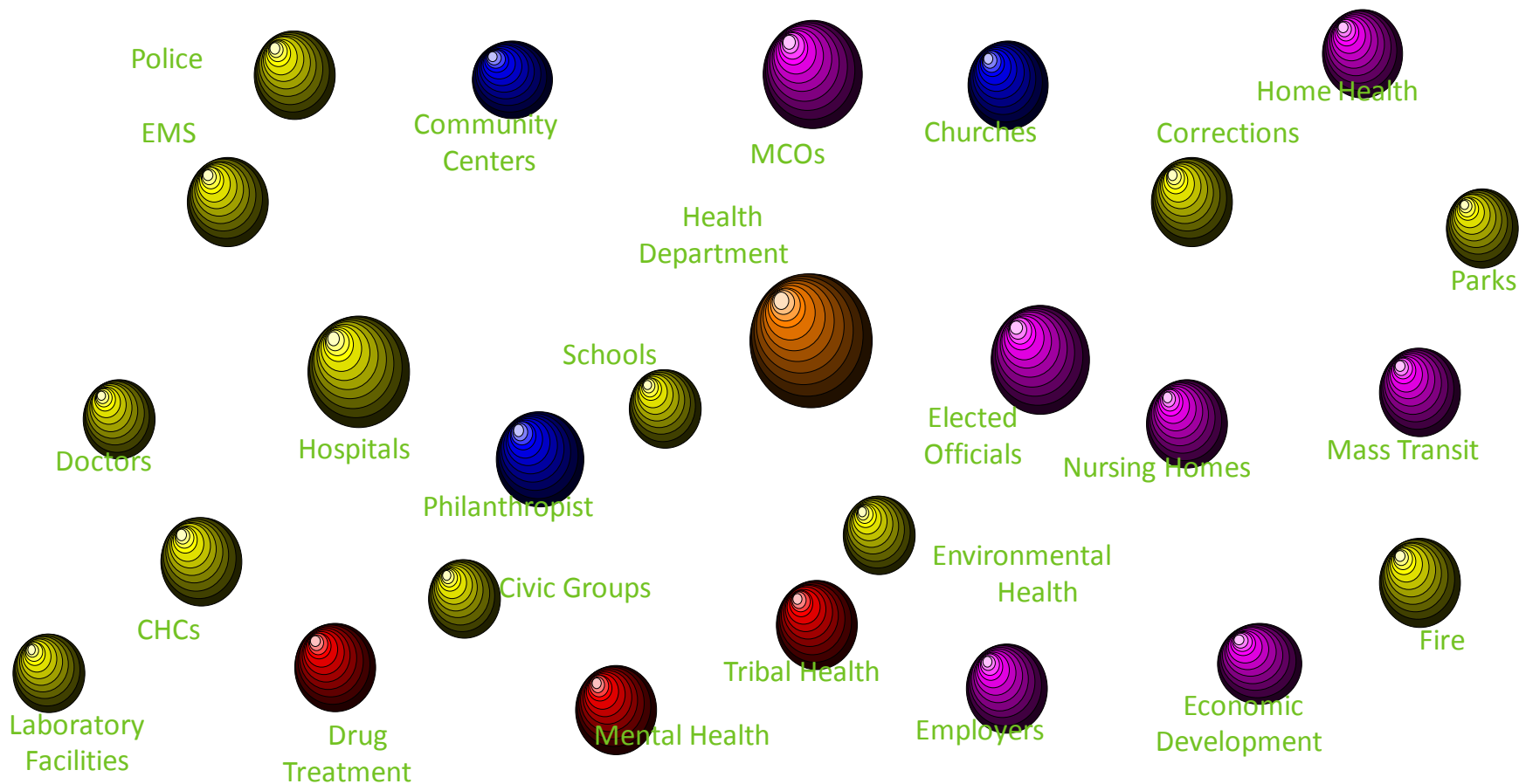
- Issues that are present in the community will also be present post-disaster and may be made worse by the disaster (i.e., violence, behavioral health issues, abuse, lack of trust).
- Know the community before the disaster.
- Know the resources in the community before the disaster.

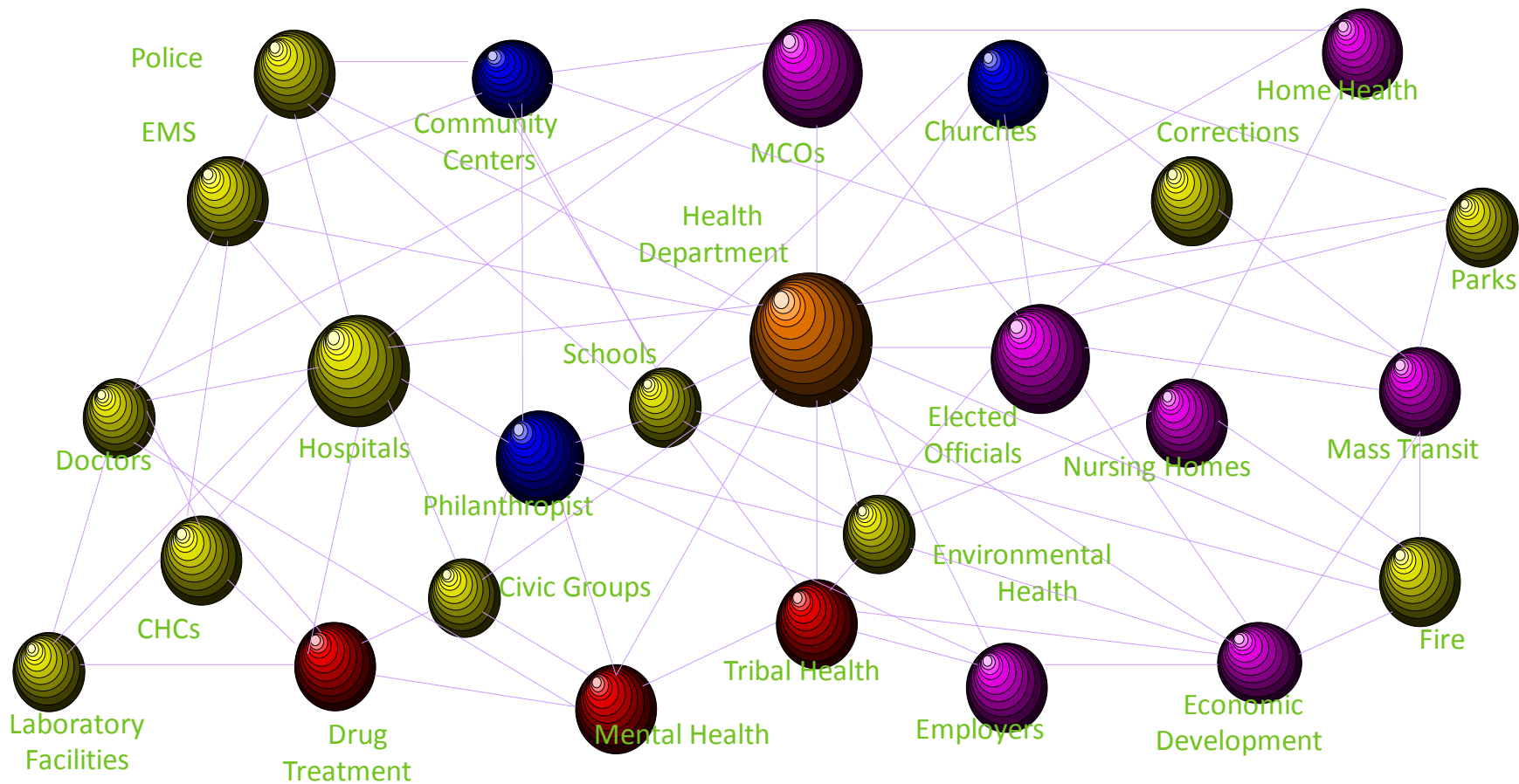


# System Assessment

Dr. Tabler







# General Systems Theory (GST)

- Individuals, groups, & organizations considered systems in homeostasis.
- Systems – self-regulating systems, self-corrected through feedback from environment
- Homeostatic control mechanism has 3 interdependent components  
Receptor → Control Center → Effector

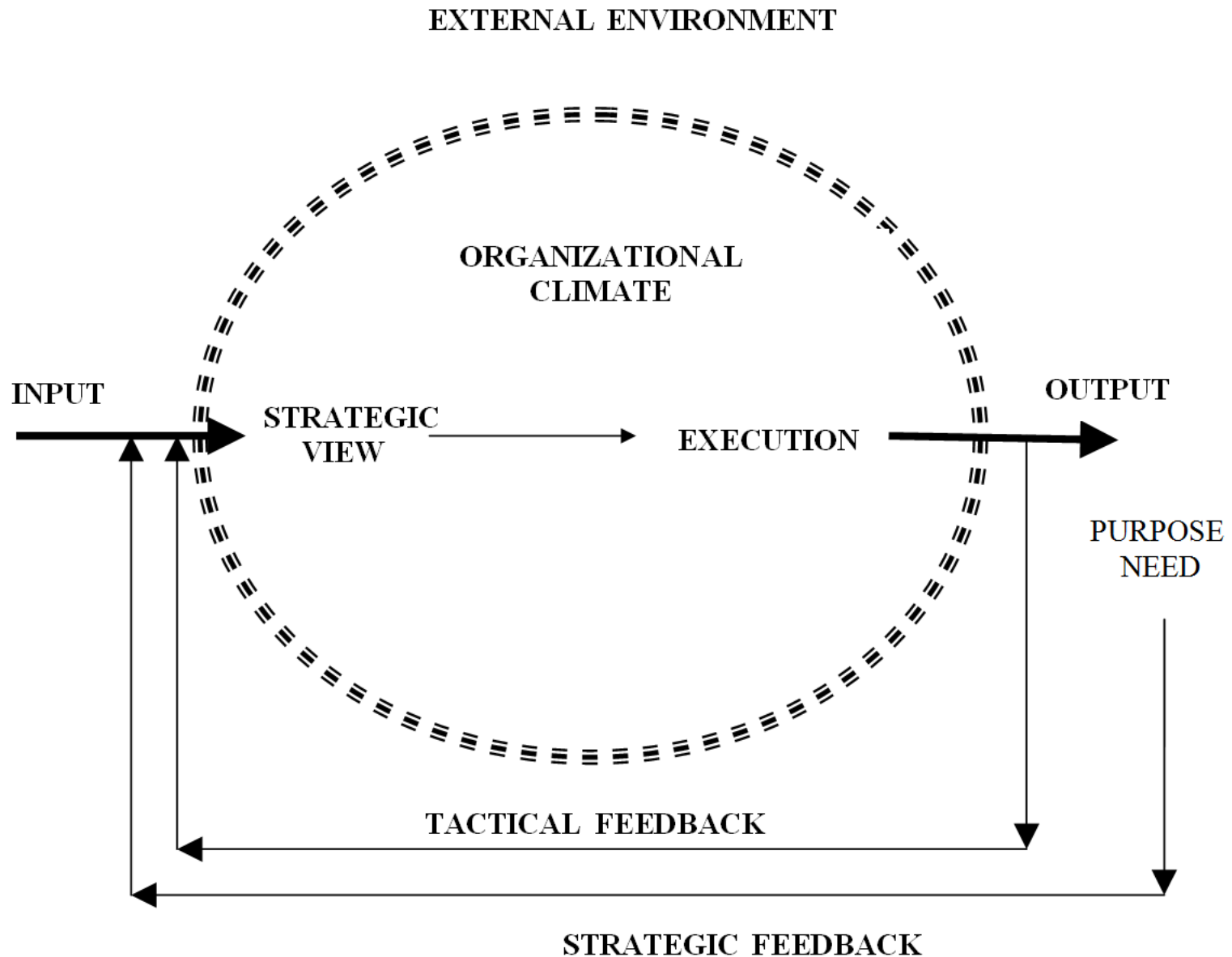




# Open Systems Model

- A open system continuously interacts with its environment.
- Organizations are goal-seeking organisms whose structures and systems reach a state of equilibrium between internal climate and environmental forces.





# Pre-Impact Assessment

A pre-impact assessment of organizations and interdependencies of the system they operate in is necessary to gain situational awareness of gaps and failure points, both at the organizational and system levels.



# Points of Failure

After identifying the *points of failure*, mitigation efforts can insure that the mission critical resources needed by the system are available post-impact, so organizations can continue to deliver services to vulnerable populations.



# Pilot Survey

The Hillsborough County Vulnerable Population Task Force conducted a pilot survey, “Prepare, Respond, & Recover,” of the agencies serving vulnerable populations.



# System Accountability

Because of our piecemeal system of delivering social, psychological, and medical services, the governments of many large communities do not have a clear accountability of all the players in the system and their resources.



# System Accountability

A major part of this issue is the recent proliferation of Faith-Based Organizations (FBOs), Community-Based Organizations (CBOs), Not-for-Profits (NFPs) and Non-Government Organizations (NGOs), who obtain their funding through a vast array of individual and group philanthropy, as well as grants from city, county, state, federal, and international governments.



# System Effectiveness

It is difficult to assess the effectiveness of a system's ability to function after a disaster without a baseline of how that system functions day-to-day.





# Vulnerable Groups Identified

- Health
- Economic
- Social
- Linguistic



# Service Providers Identified

Identified agencies that provide services to vulnerable populations from lists kept by:

- Tampa Bay United Way
- Hillsborough County Children's Board
- Crisis Center of Tampa Bay
- Search on Goggle



# Survey

The survey was reviewed multiple times by members of the VPTF until an assessment instrument of 40 questions was developed.

Data collected focused on:

- Organizational emergency operation protocols
- Continuity of operations plan and exercises of that plan
- Disaster preparation by organization and employees



# Online Survey

The survey was posted online with Survey Monkey.

Of the 62 organizations contacted, 31 (50%) responded to the on-line survey.



# Survey Results

Organizational protocol of  
operating in an emergency  
(N = 31)

29 (93.6%) - Yes

2 (06.4%) - No



# Survey Results

## Written Continuity of Operations Plan (N = 31)

19 (61.3%) - Yes

10 (32.3%) - No

2 (06.4%) - Do Not Know



# Survey Results

Last time Continuity of Operations  
Plan was revisited (N=18)

6 (33.3%) – 1 to 6 months

5 (27.8%) - 6 months to 1 year

7 (38.9%) - 1 to 3 years



# Survey Results

Last time Continuity of Operations  
Plan was exercised  
(N=17)

- 1 (05.9%) – within last month
- 1 (05.9%) – 1 to 6 months
- 2 (11.8%) – 6 months to 1 year
- 6 (35.3%) – 1 to 3 years
- 7 (41.2%) – over 3 years





# Survey Results

Organization conducts disaster drills  
(N=30)

9 (30.0%) – Yes

20 (66.7%) – No

1 (03.3%) – Do Not Know



# Survey Results

Facility is in an evacuation zone  
(N=27)

8 (29.6%) – Yes

11 (40.7%) – No

8 (29.6%) – Do Not Know



# Survey Results

Hurricane winds that facility can handle  
(N=27)

- 1 (03.7%) – Tropical Storm (39-73 mph)
- 1 (03.7%) – Category 1 (74-95 mph)
- 3 (11.1%) – Category 2 (96-110 mph)
- 1 (03.7%) – Category 3 (111-129 mph)
- 2 (07.4%) – Category 4 (130-156 mph)
- 1 (03.7%) – Category 5 (157+ mph)
- 18 (66.7%) – Do Not Know



# Table 1:

## Employee Questions Asked

<u>Question</u>	<u>Yes</u>	<u>No</u>	<u>Don't Know</u>
Know Role*	18 (66.7%)	10 (37.0%)	2 (07.4%)
Cross Trained*	15 (55.6%)	11 (40.7%)	1 (03.7%)
Family Plan Required*	3 (11.1%)	20 (74.1%)	4 (14.8%)
Director Family Plan**	17 (65.4%)	9 (34.6%)	

\*N=27, \*\*N=26



# Other Data Collected

- What products are required for your organization to continue providing core services?
- What is the maximum number of people your organization has the capacity to serve?
- What organizations send you clients or referrals?
- What organizations must you interact with in order to provide services?
- What organizations do you release your clients to after they receive your services?



# Possible Solutions to Address Gaps in System Readiness

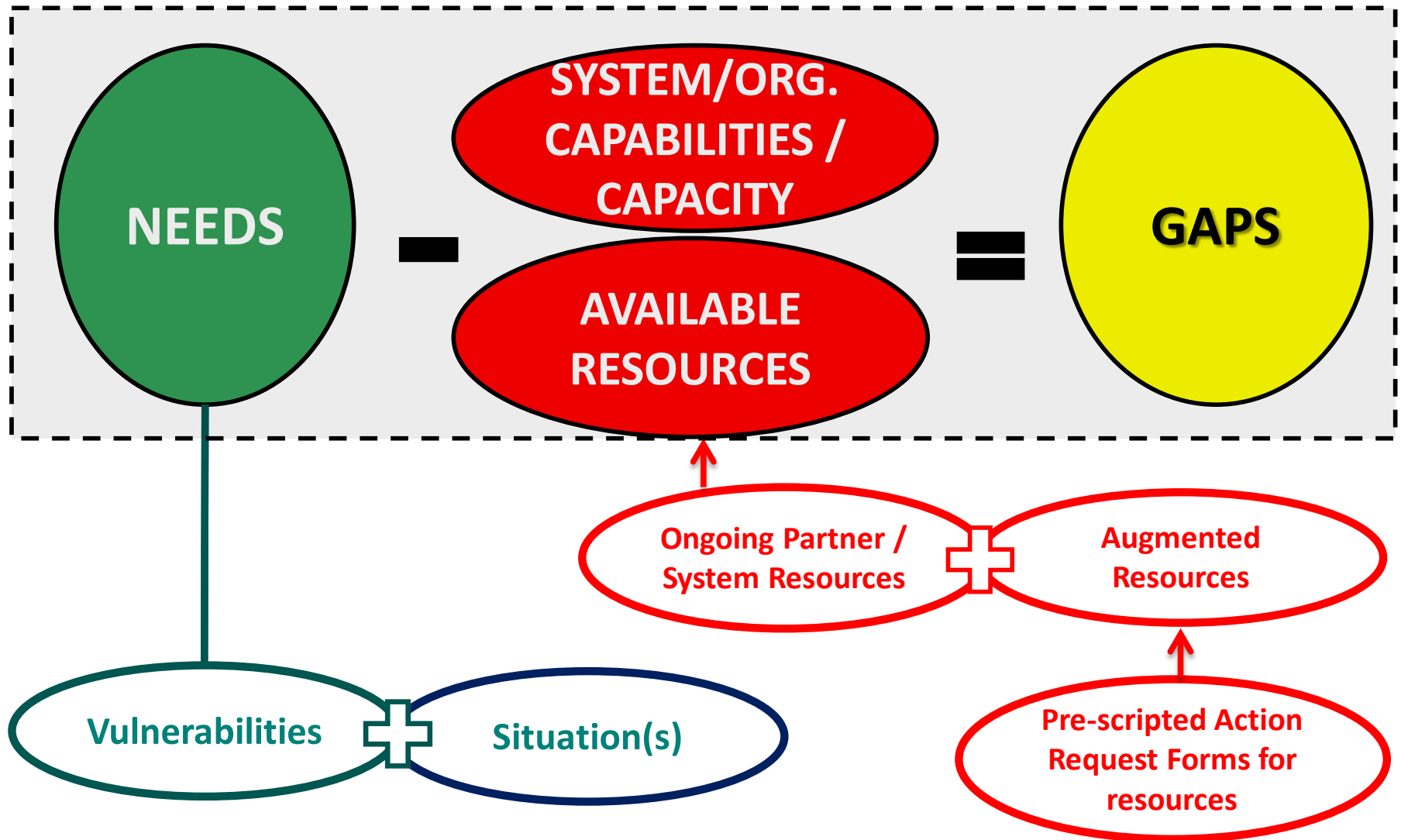
Patrick Gardner



# Preparedness and Planning?

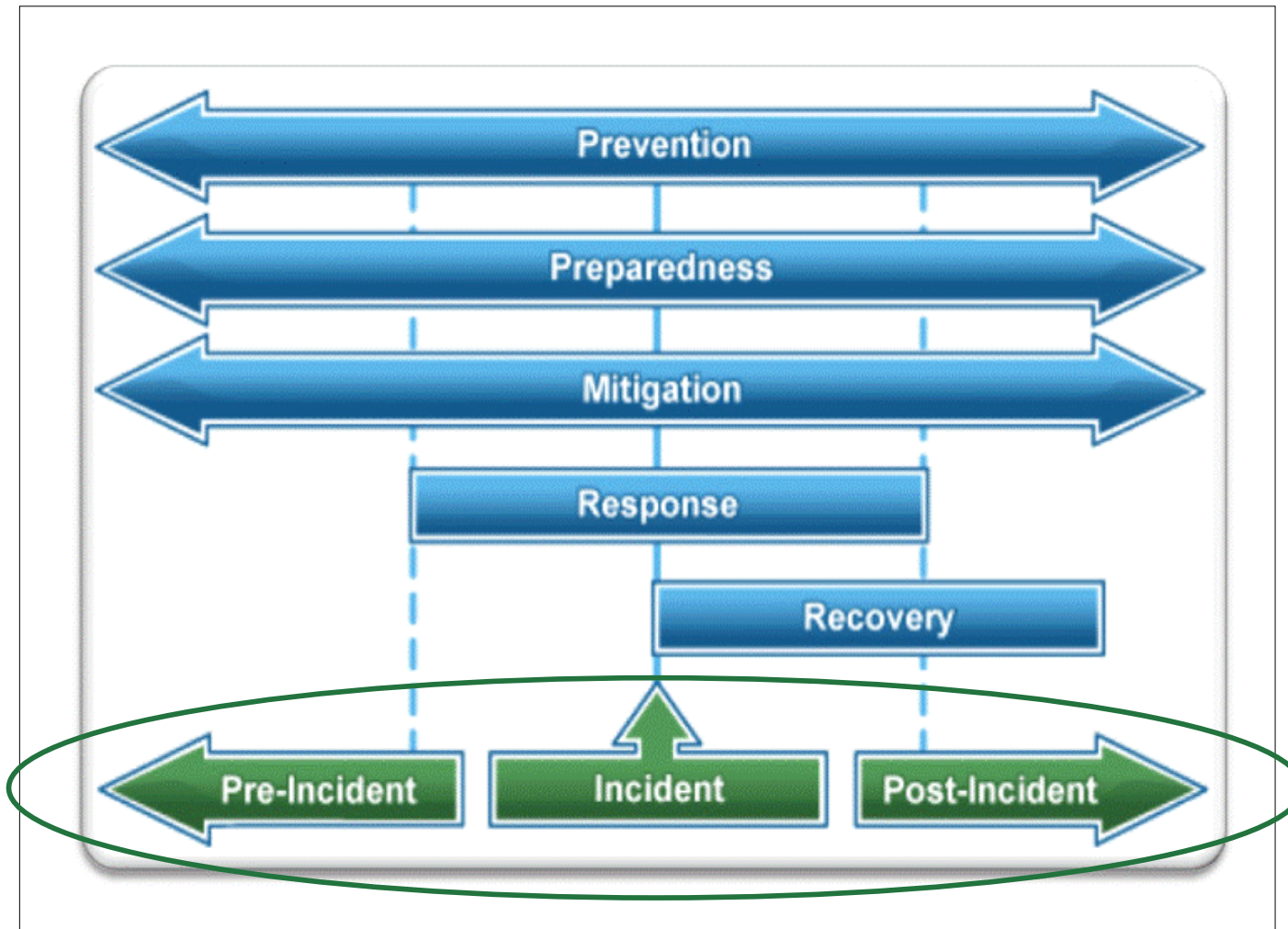


# Components of a Gap Analysis





# Emergency Management Functions



## **Vulnerable Populations**

NONE

Slight

Non-Existent

On the Spot

Longer

# Preparedness

- Use existing and new data for vulnerability assessments to support planning.
- Explicitly plan for high-risk groups.
- Think systems.

Example: Access to healthcare = availability (services & openings) + proximity + transportation + economic ability (free or payment / insurance).



# Contingency Planning

- ‘What if...’
- The time to brainstorm and contingency plan is before the event or incident, not during.
- Have a plan a, b, c, and d.
- Script the response to take less time executing it.



Source:<http://apyxx.com/new-orleans-disaster-recovery-planning/>

# Prioritization

- Life saving
- Life sustaining
- Infrastructure
- Normalization



Source: [www.dobbins.afrc.af.mil](http://www.dobbins.afrc.af.mil)



Source: <http://www.theguardian.com/world/picture/2010/aug/21/pakistan-flood-aid>



# COntinuity of OPerations: COOP Sample Format

- Critical Function
- FTEs
- Position titles
- General educational background, knowledge, and certifications required
- Specific program training, experience, and certifications preferred

# COOP, continued

- Detailed explanation of tasks assigned to this position
- Applicable statutory, regulatory, or program procedure references
- Information system access required for this task
- Third party contacts (if applicable)





# Tactical Planning

- Assumptions
- Steps to improve situational awareness
- Level of intervention:  
Minimal, moderate, maximum



Source: [www.getintoflying.co.uk](http://www.getintoflying.co.uk)

# Components

- Mission
- Mission duration
- Concept of operations



Source: [www.iro-dogs.org](http://www.iro-dogs.org)



# Tactical Planning Components (continued)

- Area(s) of operation
- Muster / staging point location
- Staffing (including table of organization)
- Shifts
- Use of volunteers
- Planning support
- Logistics (including transportation, food, water, supplies, equipment, and lodging)
- Demobilization



# Red Team

- Take the plan apart and 'defeat' it.
- Find the weaknesses.
- The Red Team is not your nemesis.



Source: The Natural Hazards Observer



# Plan Review and Comment

- Execution Critical
- Substantial
- Supportive
- Administrative



Source: 36readyblog.com

# Planning Axioms

- Planning is a team activity.
- Are you solving the problem right or solving the right problem?
- Plans are written on paper, not etched in stone.
- A good plan now is better than a perfect plan after you need it.
- Plans should be part of the solution, not part of the problem.



# Planning Axioms (continued)

- Plan for single points of failure.
- Make your plans scalable.
- Reduce cognitive load where possible.
- Consider unintended consequences to the plan – today's solutions can create tomorrow's problems.



# The After Action Process: Types of Lessons

- Learned
- Observed
- Lost



Source: [blog.womeninconsulting.org](http://blog.womeninconsulting.org)



# In conclusion...



Source:

<http://www.thehindu.com/news/international/india-vows-support-to-rebuild-haiti/article91719.ece>



Creating a  
healthier world.

Questions?



Thank you for attending this webinar!



<http://health.usf.edu/publichealth/clphp>